

1054 U.S. PTO
09/20/01

PTO/SB/05 (11-00)

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Approved for use through 10/31/2002 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	PB324D1
First Inventor	Dillon et al.
Title	Nucleotide Sequence of Escherichia coli Pathogenicity Islands
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 252]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Informal Drawing(s) (35 U.S.C. 113) [Total Sheets: 2]
5. ☒ Oath or Declaration [Total Pages: 6]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76. [Total Pages: 3]

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☒ Computer Readable Form (CRF)
 - b. ☒ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☒ paper [Total Pages: 179]
 - c. ☒ Statements verifying identity of above copies [Total Pages: 1]

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/ Form PTO/SB/08
☐ Copies of Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: (a) Submission of Formal Drawings with Formal Drawings of Figures 1-2 (2 sheets); and (b) Copy of Associate Power of Attorney from Application Serial No. 08/976,259

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-Part (CIP) of prior application No: 08/976,259

Prior application information: Examiner: Sorbello, E. Group/Art Unit: 1633

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

22195

or ☐ Correspondence address below

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	
NAME (Print/Type)	Kenley K. Hoover		Registration No. (Attorney/Agent) 40,302
SIGNATURE			Date <u>September 20, 2001</u>

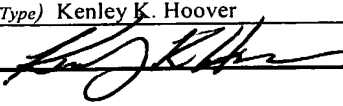
Burden Hour Statement: This form is estimated to take 0.24 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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09/20/01

09/20/01

FREE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known		
		Application Number	Unassigned	
		Filing Date	Herewith	
		First Named Inventor	Dillon et al.	
		Examiner Name	To be assigned	
		Group Art Unit	To be assigned	
Total amount of payment		\$1,344.00	Attorney Docket Number	PB324D1

METHOD OF PAYMENT		FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 08-3425 Deposit Account Name Human Genome Sciences, Inc. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES			
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other*					
FEE CALCULATION		Fee Description			
1. BASIC FILING FEE					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	201	105	130	205	65
106	206	127	50	227	25
107	207	139	130	139	130
108	208	147	2,520	147	2,520
114	214	112	920	112	920
SUBTOTAL (1)		113	1,840	113	1,840
\$710.00		115	110	215	55
		116	390	216	195
		117	890	217	445
		118	1,390	218	695
		128	1,890	228	945
		119	310	219	155
		120	310	220	155
		121	270	221	135
		138	1,510	138	1,510
		140	110	240	55
		141	1,240	241	620
		142	1,240	242	620
		143	440	243	220
		144	600	244	300
		122	130	122	130
		123	50	123	50
		126	180	126	180
		581	40	481	40
		146	710	246	355
		149	710	249	355
		179	710	279	355
		169	900	169	900
		Other fee (specify):			
		Other fee (specify):			
		Other fee (specify):			
		SUBTOTAL (3)			
		\$0.00			

Submitted By		Complete (if applicable)	
Name (Print/Type)	Kenley K. Hoover	Registration No.:	40,302
Signature:		Telephone	301-610-5771
		Date	September 20, 2001

VIA HAND DELIVERY SEPTEMBER 20, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: DILLON et al.

Application Serial No.: To be assigned

Art Unit: To be assigned

Filed: Concurrently herewith

Examiner: To be assigned

For: Nucleotide Sequence of *Escherichia Coli*
Pathogenicity Islands

Atty. Docket No.: PB324D1

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

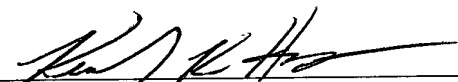
To the Official Draftsperson:

Applicants submit herewith Formal Drawings of Figures 1-2 (two sheets) to replace the informal drawings of Figures 1-2 (two sheets).

No fee is believed due for this submission. In the event that a fee is required in connection with this submission, please charge the required fee to Deposit Account No. 08-3425.

Respectfully submitted,

Dated: September 20, 2001


Kenley K. Hoover (Reg. No. 40,302)
Attorney for Applicants

Human Genome Sciences, Inc.
9410 Key West Avenue
Rockville, MD 20850
(301) 610-5771

Enclosures

KKH/MJH/BM/

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